

U.P. Power Transmission Corporation Ltd.

Office of the Director (Commercial)

कार्यालय निदेशक (वाणिज्य)

Shakti Bhawan Extn. (11th Floor)

शक्ति भवन विस्तार (11वां तल)

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No. 125/Dir(comm.)/UPPTCL/2014

Dated: 09 -05-2014

**Secretary UPERC,
Kisan Mandi Bhawan,
II Floor, Gomti Nagar,
Lucknow.**

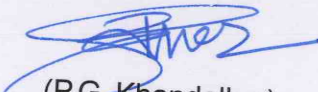
Sub: Regarding Preliminary information requirement / Discrepancies in petition.

Dear Sir,

In continuation to this office letter no. 99/Dir(comm.)/UPPTCL/2014 dated 04-04-2014, kindly find enclosed herewith third set of additional replies on the above subject, in compliance to Hon'ble Commission's letter no. UPERC/Secy./D(Tariff)/14-098 dated 21-04-2014.

Kind Regards,

Yours Sincerely,


(P.G. Khandalkar)
Director(Comm) UPPTCL

Encl- as above in 5 additional copies and one CD.

Third Set of Replies :

17th April, 2014

Uttar Pradesh Power Transmission Corporation Ltd. (UPPTCL)

UPPTCL's Petition for review of the Commission's Order dated 31 May, 2013 on Suo-moto determination of ARR and Tariff for FY 2013-14 along with true-up for FY 2008-09, FY 2009-10 and FY 2010-11

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UPPTCL's Petition for Annual Revenue Requirement and Tariff for FY 2014-15 in accordance with the Uttar Pradesh Electricity Regulatory Commission (Terms and Conditions for Determination of Transmission Tariff) Regulations, 2006

Pending / Additional Information Requirement / Discrepancies in the Petitions

Note:

- 1) Petitioner should submit the replies in soft copy and hard copy.
- 2) All the letters / correspondence should be submitted in scanned PDF copy also.
- 3) In case any submission has already been submitted to the Commission, the same should be re-submitted to make it part of the present proceedings.

UPPTCL was asked to submit the desired information on the preliminary datagaps raised vide letter dated 22nd February, 2014. UPPTCL vide its reply dated 21st March, 2014 submitted some of the information. The additional information pending to be submitted / not submitted to the satisfaction is reproduced below. It is pertinent to note that the information sought is crucial for the analysis of the Petitions and in the determination of ARR and Tariff for FY 2014-15 or any other related matter and the same should be submitted.

Reference: Petitioner's reply dated 21st March, 2014 on the preliminary queries raised by the Commission

A. Revenue side true-up

1. (Ref: Query No. 1 of the preliminary datagaps) UPPTCL was asked to submit the details of its revenue from Distribution Licensees, other Long Term Open Access Consumers, Short Term Open Access Consumers, SLDC charges and any other (specify details) for FY 2007-08 to FY 2012-13 reconciling with the audited accounts in the specified formats. UPPTCL vide its reply dated 21



March, 2014 has not submitted the details separately in the specified formats. UPPTCL should submit the details in the specified formats.

Licensee's Response

The desired information is enclosed as per 'Annexure-A'.

B. True-Up for FY 2011-12

2. (Ref: Query No. 5 of the preliminary datagaps) UPPTCL was asked to submit the complete details of prior period expenses claimed for FY 2011-12 and rational for including the same in true-up when all these expenses are approved by the Commission on normative basis. UPPTCL vide its reply dated 21 March, 2014 submitted that the desired information would be submitted in due course. UPPTCL should submit the same.

Licensee's Response

The desired information is enclosed as per 'Annexure-B' *along with all previous years Since FY 2007-08.*

C. ARR Petition for FY 2014-15

Compliance to directives

3. (Ref: Query No. 8 of the preliminary datagaps) UPPTCL was asked to submit the compliance to the directives issued by the Commission vide its Order dated 21 May, 2013 on true-up for FY 2000-01 to FY 2007-08. UPPTCL vide its letter dated 21 March, 2014 submitted that information has already been submitted to the Hon'ble Commission through letter no. 2000/RAU/True up dated 27.06.2013. UPPTCL should submit the same along with this Petition for ARR for FY 2014-15.

Licensee's Response

The desired information is enclosed as per 'Annexure-C'.

4. (Ref: Query No. 9 of the preliminary datagaps) UPPTCL was asked to submit the compliance to the directives issued by the Commission vide its Order dated 31 May, 2013 on suo-moto determination of ARR and Tariff for FY 2013-14. UPPTCL vide its reply dated 21 March, 2014 submitted that the desired information would be submitted in due course. UPPTCL should submit the same.

Licensee's Response



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The desired information is enclosed as per 'Annexure-D'.

5. (Ref: Query No. 10 of the preliminary datagaps) UPPTCL was asked to submit the details of allotted transmission capacity (in MW) among the beneficiaries using its Transmission system for the Distribution Licensees and other open access consumers. UPPTCL vide its reply dated 21 March, 2014 submitted that the desired information would be submitted in due course. UPPTCL should submit the same.

Licensee's Response

It is stated that the allocation of transmission capacity among the beneficiary distribution licensee is not possible until the allocation of PPAs to the distribution licensees. In this regard, it is stated that discom wise allocation of PPAs are being done in case of all fresh/new PPAs being under Case 1 and Case 2. However, in case of old PPAs with state and central sector generating stations, the draft proposal for allocation of PPAs to DisComs has already been sent to GoUP for notification and the matter is still pending at GoUP level.

Submission of duly filled formats

6. (Ref: Query No. 12 of the preliminary datagaps) UPPTCL was asked to submit the duly filled in ARR and Tariff formats as specified by the Uttar Pradesh Electricity Regulatory Commission (Terms and Conditions for Determination of Transmission Tariff) Regulations, 2006 showing the details from FY 2007-08 onwards. UPPTCL vide its letter dated 21 March, 2014 submitted that the desired information would be submitted in due course. UPPTCL should submit the same.

Licensee's Response

It is humbly submitted that the information in respect of all forms except Form Nos. P3, P4, P5 P7 P9, P9a P10 & P11 was submitted by the Petitioner to the Hon'ble Commission on 04-04-2014 vide Letter No. 99-Dir(comm)/UPPTCL/2014 dated 04-04-2014.

The information in respect of the Form Nos. P3, P4, & ~~P11~~ is enclosed as per 'Annexure-E'.

The requirement for rest of formats may kindly be waived off.

7. (Ref: Query No. 13 of the preliminary datagaps) UPPTCL was asked to submit the computations of charges to be paid by Long Term Transmission System Customer/month submitted in Form No. F2 (S. No. A) in workable excel form with appropriate formulae. UPPTCL vide its reply dated 21 March, 2014 submitted that the details under S. No. B (Bulk Consumers/Long Term Open Access Consumers (If any)) of Form No. F2 have not been submitted as there are no long-term open access customers other than the distribution licensees. UPPTCL has not submitted the

desired computations in its reply dated 21 March, 2014. UPPTCL should submit the computations submitted in S. No. A of Form No. F2.

Licensee's Response

The Licensee reiterates that there are no long term consumers and hence there are no applicable computations against it.

8. **(Ref: Query No. 15 of the preliminary datagaps)**UPPTCL was asked to submit the basis and computations of capitalisation of Employee and A&G expenses submitted for FY 2014-15. UPPTCL vide its reply dated 21 March, 2014 submitted the basis for considering the capitalisation of employee expenses and A&G expenses and computations of capitalisation rate considered for employee expenses. UPPTCL should submit the computations of capitalisation rate of A&G expenses considered for FY 2014-15.

Licensee's Response

The Licensee humbly submits that the computation in respect of capitalisation is a mere multiplication of the gross expenses by the capitalisation rate. The same is available in the tariff forms.

9. **(Ref: Query No. 16 of the preliminary datagaps)**UPPTCL was asked to submit the details of its Transmission system in the specified format. UPPTCL vide its reply dated 21 March, 2014 submitted that the desired information would be submitted in due course. UPPTCL should submit the same.

Licensee's Response

The response on this issue has already been submitted to the Hon'ble Commission on 04-04-2014 vide Letter No. 99-Dir(comm)/UPPTCL/2014 dated 04-04-2014.

10. **(Ref: Query No. 17 of the preliminary datagaps)**UPPTCL was asked to submit the O&M expenses for its Transmission network in the specified format. UPPTCL was also asked to submit the comparison of its O&M expenses category wise with the O&M expenses of Transmission Licensees in all the States in the Northern region. UPPTCL vide its reply dated 21 March, 2014 submitted that there is no segregation of staff and other expenses as per separate lines and bays for different voltage levels. UPPTCL should apportion its expenses among Lines, Bays and substations and submit the same in the specified format along with the rationale adopted for



apportionment. In this regard, Regulation 4.2(4) of the UPERC (Terms and Conditions for Determination of Transmission Tariff) Regulations, 2006 specifies as under:

"However, the Commission may direct the utilities to bring down the O & M expenses to an efficient level i.e., by fixing norms based on the circuit kilometers of transmission lines, transformation capacity at the sub-stations, number of bays in substation etc. of similarly placed efficient utilities, within such span of time, as may be determined by the Commission."

UPPTCL should submit the desired information in compliance to the above stated Regulation.

Licensee's Response

The Licensee reiterates that as per the current structure and scheme of things, the accounts are prepared at each division level. Multiple lines and bays of different voltage levels are generally under the jurisdiction of a single division which prepares accounts on composite basis for the area under its jurisdiction. There is no segregation of staff and other expenses as per separate lines and bays for different voltage levels. It is also pertinent to mention that there is no prescription of maintenance of separate accounting records even in the Transmission Tariff Regulations formulated by the Hon'ble Commission. Further, such details were never asked during past tariff proceedings. In this background, it is requested that the Hon'ble Commission may approve the O&M expenses for the ensuing year.

11. **(Ref: Query No. 18 of the preliminary datagaps)**UPPTCL was asked to submit the actual capital investment and capitalisation upto December, 2013 in FY 2012-13 and preparedness to complete the remaining capital investment in FY 2013-14 in terms of physical and financial progress. UPPTCL vide its reply dated 21 March, 2014 submitted that the desired information would be submitted in due course. UPPTCL should submit the same.

Licensee's Response

The response on this issue has already been submitted to the Hon'ble Commission on 04-04-2014 vide Letter No. 99-Dir(comm)/UPPTCL/2014 dated 04-04-2014.

12. **(Ref: Query No. 19 of the preliminary datagaps)**UPPTCL was asked to submit the preparedness to execute the proposed capital investment for FY 2014-15 in terms of funds tie up and orders placed. UPPTCL was also asked to submit the details of plan for evacuation of power from all the upcoming generating capacities during FY 2014-15. UPPTCL vide its reply dated 21 March, 2014 has not submitted the details of any funds tie up so far. UPPTCL should submit the steps initiated for funds tie up for the proposed capital investment in FY 2014-15 along with supporting



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documents. UPPTCL should also submit the details of plan for evacuation of power from all the upcoming generating capacities during FY 2014-15.

Licensee's Response

The response on this issue has already been submitted to the Hon'ble Commission on 04-04-2014 vide Letter No. 99-Dir(comm)/UPPTCL/2014 dated 04-04-2014.

SLDC

13. **(Ref: Query No. 22 of the preliminary datagaps)**UPPTCL was asked to submit the steps taken to ensure the functioning of SLDC in independent manner both in financial terms and decision making along with the year-wise progress made in this regard. UPPTCL vide its reply dated 21 March, 2014 submitted that the desired information would be submitted in due course. UPPTCL should submit the same.

Licensee's Response

The response on this issue is enclosed as per 'Annexure-F'.

14. **(Ref: Query No. 24 of the preliminary datagaps)**UPPTCL was asked to submit the detailed reasons (both Commercial and Technical) for delay in allowing the open access to eligible open access consumers in the State and the actions taken to mitigate the adverse commercial impact, if any, by allowing open access to eligible consumers of electricity in the State. UPPTCL has not submitted the desired information in its reply dated 21 March, 2014. UPPTCL should submit the same.

Licensee's Response

The response on this issue has already been submitted to the Hon'ble Commission in its reply dated 21 March, 2014.



Table 1: Revenue from Distribution Licensee															
S. No.	Name of the Licensee	FY 2007-08		FY 2008-09		FY 2009-10		FY 2010-11		FY 2011-12		FY 2012-13		FY 2013-14	
		Energy delivered (MU)	Revenue (Rs. crore)	Energy delivered (MU)	Revenue (Rs. crore)	Energy delivered (MU)	Revenue (Rs. crore)	Energy delivered (MU)	Revenue (Rs. crore)	Energy delivered (MU)	Revenue (Rs. crore)	Energy delivered (MU)	Revenue (Rs. crore)	Energy delivered (MU)	Revenue (Rs. crore)
1	MVVNL	8683.23	114.36	8872.46	127.32	9755.10	122.91	10944.71	137.90	12537.17	157.97	13146.66	228.75	8616.76	116.33
2	PuVVNL	11344.09	149.40	11971.01	171.78	12700.61	160.03	14011.69	176.55	15703.73	197.87	16033.71	278.99	9754.08	131.68
3	PaVVNL	16947.34	223.20	17077.53	245.06	18236.91	229.79	19639.61	247.46	22648.64	285.37	23673.53	411.92	14981.00	202.24
4	DVVNL	11602.49	152.80	11812.52	169.72	12959.11	163.28	14296.43	180.14	16051.60	202.25	17331.14	301.56	10692.85	144.35
5	KESCO	2649.41	34.89	2635.47	37.61	2740.21	34.53	2940.01	37.04	3088.95	38.92	3140.07	54.64	NA	NA
6	NPCL	345.69	5.39	350.15	5.02	353.67	4.46	309.80	3.90	340.96	4.30	342.29	5.96	NA	NA
Total		51572.24	680.05	52719.15	756.52	56745.60	714.99	62142.26	782.99	70371.05	886.68	73667.40	1281.81	44044.69	594.60
Revenue from Distribution Licensee as booked in Audited Accounts															

Table 2: Revenue from Long Term Open Access Consumers

Revenue from Long Term Open Access Consumers							
S. No.	Name of the customer	FY 2007-08		FY 2008-09			
		Energy delivered (MU)	Revenue (Rs. crore)	Energy delivered (MU)	Revenue (Rs. crore)	Energy delivered (MU)	Revenue (Rs. crore)
1		NIL Till date FY 13-14.					
2							
3							
...							
Total							
Revenue from Long Term Open Access consumers as booked in the Audited Accounts							

(Rs in Crore)

Table 3: Revenue from Short Term Open Access Consumers							
Description	FY 2007-08	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13	FY 2013-14 (upto Oct)
Revenue from Short Term Open Access consumers as booked in the Audited Accounts	0.17	1.49	33.24	70.84	49.45	20.96	20.96

Table 4: Revenue from SLDC Charges							
Description	FY 2007-08	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13	FY 2013-14 (upto Oct)
Revenue from Short Term Open Access consumers as booked in the Audited Accounts	0.00	0.17	0.49	1.37	1.63	1.99	0.00

Table 5: Revenue from Other Sources							
Description	FY 2007-08	FY 2008-09	FY 2009-10	FY 2010-11	FY 2011-12	FY 2012-13	FY 2013-14 (upto Oct)
Extra State Con. (M.P. & H.P)	0.00	0.00	0.00	4.89	2.16	4.01	0.00
PGCIL	0.00	0.00	34.65	13.66	3.90	0.00	0.00
Prior Period	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Note: Customer-wise STOA account is not currently not being maintained in SLDC/STU. This requirement may kindly be waived off.

UPPTCL

CONSOLIDATED DETAILS OF PRIOR PERIOD EXPENDITURE

Sum of Amount	AG Code	Year	Account Cc Account Head	Description of Expenditure / Head of Account	Period of Expenditure	Total
83	2007-08	83.3	Operating Expenses of Previous Years	132 KV S/S Foundry Nagar Maintenance Bill Payment	08/06 to 11/06	63600.00
				Hansaari & Moth S/S Maintenance, Telephone etc. Bill Payment	04/99 to 01/07	227021.00
				Naubasta & Jainpur S/S Maintenance Bill Payment	10/05 to 02/07	374376.00
				Operating Expenses of Previous Years	Prior to 2007-08	312.50
				Pay Fixation arrear of staff	2006-07	12661.00
				Saifai, Kuunira, Bholepur & Kaimganj S/S Sainik Kalyaan Opretor Wages Bill Payment	08/06 to 10/06	549405.00
				Telephone Bill of Division Office & S/S	01/07 to 03/07	7834.00
		83.501	Employees Cost relating to Previous Years 01.01.1996 to 31.03.1998	D.Pay & Pay Fixation arrear	01/96 to 02/06	233192.00
				Ex-Gratia, D.Pay arrear, L/E, D.A. arrear	02/04 to 03/07	1050057.00
				Pay Arrear 1996-1998	Prior to 2007-08	737393.00
		83.502	Package Deal 01.04.1979 to 31.12.1995	D.Pay arrear	04/04 to 02/06	22156.00
				Package arrear	1989-95	1351.00
		83.503	Other Employees Cost relating to Previous years	D.Pay arrear	04/04 to 02/06	712071.00
				Ex-Gratia	2006-07	61200.00
				III T/S arrear of staff	2005-07	70162.00
				Pay Arrear	Prior to 2007-08	3221379.00
				Pay Fixation arrear	01/96 to 12/04	51674.00
				Pay Fixation arrear as per Hon,able High Court Allahabad	04/84 to 04/05	1742220.00
				PAY, DA & TIME SCALE ARREAR	Prior to 2007-08	2042219.59
		83.6	Depreciation Under / Excess Provided in earlier Years	Depreciation Not provided in earlier year	Prior to 2007-08	33709493.81
		83.820	Administrative Expenses Previous Years	Administrative & General Expenses	Prior to 2007-08	19600463.00
				Administrative & General Expenses Previous Years	Prior to 2007-08	10109.00
		83.840	Material Related Expenses Previous Years	Administrative & General Expenses	Prior to 2007-08	1018219.00
				D.Pay arrear	04/04 to 02/06	80000.00
		2007-08 Total				65598568.90
83 Total						65598568.90
Grand Total						65598568.90

UPPTCL

CONSOLIDATED DETAILS OF PRIOR PERIOD EXPENDITURE

Sum of Amount						
AG Code	Year	Account Cc	Account Head	Description of Expenditure / Head of Account	Period of Expenditure	Total
83	2008-09	83.3	Operating Expenses of Previous Years	Operating Expenses of Previous Years	Operating Expenses of Previous Years	-5028410.00
					Prior to 2008-09	-9868803.00
				AMC Of Sugar Mills As Per Credit Note Issued By SE Electy. Import Export & Payment Circle	2007-08 TO 2010-11	-6679116.42
		83.501	Employees Cost relating to Previous Years 01.01.1996 to 31.03.1998	D.Pay arrear & D.A. arrear	02/04 to 12/05	71548.00
				D.Pay & Pay Fixation arrear	01/96 to 03/98	40016.00
				Pay Arrear 1996-1998	Prior to 2008-09	32721.00
				Pay Fixation arrear	2006-07	27551.00
				Pay Fixation arrear of staff	01/96 to 02/08	85184.00
					03/97 to 09/06	8669.00
		83.502	Package Deal 01.04.1979 to 31.12.1995	Pay Fixation & D.Pay arrear	04/06 to 03/08	147077.00
				Pay Fixation arrear of staff	2007-08	5113.00
		83.503	Other Employees Cost relating to Previous years	Arrear of Staff	01/96 to 12/05	945026.00
					03/06 to 02/08	14709.00
					07/06 to 12/06	8100.00
				Ex-Gratia	2007-08	358741.00
				Ex-Gratia & Pay Fixation arrear of Staff	2007-08	788479.00
				Pay Arrear	Prior to 2008-09	1713391.00
				Pay Fixation arrear of staff	06/98 to 05/08	75254.00
				PAY, DA & TIME SCALE ARREAR	Prior to 2008-09	2974160.80
		83.6	Depreciation Under / Excess Provided in earlier Years	Depreciation Not provided in earlier year	Prior to 2008-09	169992428.51
				Depreciation under / excess provided in previous years	Prior to 2008-09	299372.61
		83.7	Interest & Finance Charges relating to Previous Years	Prior Period Interest & Finance Charges	Prior to 2008-09	-135052.00
		83.820	Administrative Expenses Previous Years	Administrative & General Expenses	Prior to 2008-09	800.00
				Administrative & General Expenses Previous Years	Prior to 2008-09	90168.00
				TA Bill of Satff & Officers of Previous Year	Administrative Expenses pertaining to previous years	494424.00
	2008-09 Total					156461551.50
83 Total						156461551.50
Grand Total						156461551.50

UPPTCL

CONSOLIDATED DETAILS OF PRIOR PERIOD EXPENDITURE

Sum of Amount						
AG Code	Year	Account Cc	Account Head	Description of Expenditure / Head of Account	Period of Expenditure	Total
83	2009-10	83.3	Operating Expenses of Previous Years	Maintenance Expenditure	Prior to 2009-10	111858.00
				Operating Expenses of Previous Years	Prior to 2009-10	-3426127.11
		83.5	Employees Cost relating to Previous Years	D.A. & Pay arrear EE(A) & Staff	01/08 to 05/08	77496.00
				D.A. arrear of SDO	07/08 to 09/08	4286.00
				Ex-Gratia & arrear of Staff	2008-09	697353.00
				II T/S arrear of staff	04/02 to 08/02	4929.00
				Pay Fixation & II T/S arrear of staff	07-08 to 08-09	50422.00
		83.501	Employees Cost relating to Previous Years 01.01.1996 to 31.03.1998	D.Pay arrear of staff	04/04 to 12/05	114866.00
				Pay Arrear 1996-1998	Prior to 2009-10	627749.00
				Pay arrear of staff	April 98	50.00
				Pay Fixation arrear of staff	04/06 to 01/09	91005.00
					2006-07	2726.00
		83.503	Other Employees Cost relating to Previous years	Pay Arrear	Prior to 2009-10	3753036.60
				Pay commission arrear provision	04/08 to 03/09	36580400.00
		83.504	Essential Service Allowance - Prior Period	PAY, DA & TIME SCALE ARREAR	Prior to 2009-10	2230096.00
				Essential Service Allowance Prior Period	Prior to 2009-10	8107.00
		83.6	Depreciation Under / Excess Provided in earlier Years	Depreciation Not provided in earlier year	Prior to 2009-10	557354.31
				Depreciation under / excess provided in previous years	Prior to 2009-10	66633195.71
		83.820	Administrative Expenses Previous Years	Administrative & General Expenses Previous Years	Prior to 2009-10	299994.00
				TA Bill of Staff & Officers of Previous Year	Administrative Expenses pertaining to previous	406915.00
	2009-10 Total					108825711.51
83 Total						108825711.51
Grand Total						108825711.51

UPPTCL

CONSOLIDATED DETAILS OF PRIOR PERIOD EXPENDITURE

Sum of Amount AG Code	Year	Account Cc	Account Head	Description of Expenditure / Head of Account	Period of Expenditure	Total
83	2010-11	83.3	Operating Expenses of Previous Years	Operating Expenses of Previous Years	Prior to 2010-11	44550.45
		83.5	Employees Cost relating to Previous Years	D.A. arrear of Staff	01/11 to 05/11	17589.00
				Employee Cost relating to Previous Years	Employee Cost relating to Previous Years	170054.00
				Ex-Gratia & arrear of Staff	2009-10	165792.00
				Pay Fixation arrear of staff	09/07 to 08/10	428232.00
				T/S arrear	2009-10	4242.00
		83.501	Employees Cost relating to Previous Years 01.01.1996 to 31.03.1998	Pay Arrear 1996-198	Prior to 2010-11	2352298.00
				Pay Commission arrear of staff	08-09 & 09-10	158001.00
					2005-06	304631.00
					2008-09	992648.00
				Pay Fixation arrear of staff	01/06 to 01/10	47345.00
					10/95 to 03/06	263671.00
		83.502	Package Deal 01.04.1979 to 31.12.1995	Pay Commission arrear of staff	2009-10	111285.00
		83.503	Other Employees Cost relating to Previous years	D. Pay arrear	2009-10	145068.00
				Ex-Gratia of Staff	2008-09	114072.00
				Pay Arrear	Prior to 2010-11	3572161.00
				PAY, DA & TIME SCALE ARREAR	Prior to 2010-11	13039766.50
		83.6	Depreciation Under / Excess Provided in earlier Years	Depreciation Not provided in earlier years	Prior to 2010-11	77132.87
				Depreciation under / excess provided in previous years	Prior to 2010-11	29925062.98
				Pay Arrear	Prior to 2010-11	4091.15
		83.7	Interest & Finance Charges relating to Previous Years	Prior Period Interest & Finance Charges (HUDCO)	2009-10	-730555.00
		83.820	Administrative Expenses Previous Years	Administrative & General Expenses Previous Years	Prior to 2010-11	-257618.45
		83.840	Material Related Expenses Previous Years	Material Related Expenses Previous Years	Prior to 2010-11	3366.00
	2010-11 Total					50952885.50
83 Total						50952885.50
Grand Total						50952885.50

CONSOLIDATED DETAILS OF PRIOR PERIOD EXPENDITURE

Response to point C-5 of UPERC Data Caps

Sum of Amount AG Code	Year	A/c Code 1	Account Head	Description of Expenditure / Head of Account	Period of Expenditure	Total
83	2011-12	83.3	Operating Expenses of Previous Years	Operating Expenses of Previous Years	Prior to 2011-12	52313321.00
		83.3 Total				52313321.00
		83.5	Employees Cost relating to Previous Years	Pay Arrear	Prior to 2011-12	439281.00
			Employees Cost relating to Previous Years 01.01.1996 to 31.03.1998	Pay Arrear 1996-1998	Prior to 2011-12	1138227.00
			Other Employees Cost relating to Previous Years	Ex-Gratia & arrear of Staff	2010-11	84532.00
				Ex-Gratia of Staff	2010-11	108598.00
				Other Employees Cost relating to Previous years	Prior to 2011-12	21883.00
				Pay Arrear	Prior to 2011-12	1093929.94
				Pay Commission arrear A/I arrear & DA arrear of staff	01/06 to 10/10	87457.00
				Pay Commission arrear of staff	2005-06	81108.00
				Pay Fixation arrear of staff	01/96 to 12/05	27345.00
				PAY, DA & TIME SCALE ARREAR	10/04 to 03/08	11083.00
				T/S arrear & L/E difference arrear	Prior to 2011-12	3181309.00
				Pay Arrear 1989-1995	07-08 & 09-10	107777.00
				Package Deal 01.04.1979 to 31.12.1995	Prior to 2011-12	317870.00
		83.5 Total				6700399.94
		83.6	Depreciation Under / Excess Provided in earlier Years	Depreciation under / excess provided in previous years	Prior to 2011-12	592988.87
					Prior to 2011-12	1940.83
				Prior eriod Depreciation	Relating to 2010-11	53917244.27
				Excess Dep Reversed	2007-08 To 2011-12	-878259.51
				Depreciation Not/Less provided for	Prior to 2011-12	49645996.94
		83.6 Total				103279911.40
		83.7	Interest & Finance Charges relating to Previous Years	Prior Period Interest & Finance Charges	2007-08 TO 2010-11	345248417.82
					Prior to 2011-12	-83862.00
		83.7 Total				345164555.82
		83.8	Administrative Expenses Previous Years	Administrative & General Expenses Previous Years	Prior to 2011-12	77120.00
				Administrative & General Expenses	Prior to 2011-12	62313.00
				Legal Charges & Administration Expenses	99-2000	491170.50
				On account of prior period House tax (Nagar Palika)	2007-08 TO 2010-11	9843498.00
				Reversal Entry-Administrative & General Expenses	Prior to 2011-12	-648.45
				Other Charges relating to previous years	Prior to 2011-12	29502.00
				Pay Arrear	Prior to 2011-12	-24474.00
		83.8 Total				10478481.05
		2011-12 Total				517936669.21
		83 Total				517936669.21
		Grand Total				517936669.21

(P. G. Khandalkar)
Director (Commercial), UPPTCL

(Dr. Uma Kant Yadav)
DGM (Accounts & Audit), UPPTCL



उत्तर प्रदेश पावर कारपोरेशन लिमिटेड

U.P. POWER CORPORATION LIMITED

(Govt. of Uttar Pradesh Undertaking)

Regulatory Affairs Unit
15th Floor, Shakti Bhawan Extension,
14-Ashok Marg, Lucknow 226001.
Phone: (0522) 2286519
Fax: (0522) 2287860

No 2000 /RAU/True up

रेगुलेटरी अफेयर्स इकाई
15वां तल, शक्ति भवन विस्तार,
14-अशोक मार्ग, लखनऊ 226001
दूरभाष : (0522) 2286519
फैक्स : (0522) 2287860

Dated: 27 June, 2013

The Secretary,
U.P. Electricity Regulatory Commission,
Kisan Mandi Bhawan,
2nd Floor, Gornti Nagar
Lucknow.

Subject: Compliance of Directive

Sir,

Kindly refer Hon'ble Commission's Order dated 21st May 2013 for true up for FY2000-01 to FY2007-08 filed by UPPCL, UPPTCL and distribution Companies. In this Order at chapter-12 "Directives", the Hon'ble Commission directed to furnish the true up information based on audited account for FY2007-08 in the specified formats as provided in Tariff Regulation, 2006.

In compliance to above directive, kindly find enclosed herewith true up formats for FY2007-08 for DVVNL, MVVNL, PVVNL, PuVVNL, KesCo and UPPTCL for consideration of the Commission. Here it is to submit that information, contained in these formats, has already been provided in the true up petitions.

Thanking You,

Enl: As above (Soft copy being sent thru
mail) + CD

Yours faithfully,

(M. Ghaffar)

Chief Engineer (RAU)

Enclosure: UPPTCL part only

Compliance of Directives issued in the Suo-motu Tariff Order for FY 2011-12

S No	Description of Directive for UPPTCL / SLDC	Status of Compliance
1	The Commission directs UPPTCL to file its ARR / Tariff Petition for FY 2014-15 along with true up petition for FY 2011-12 based on audited accounts in compliance with the directives of the Hon'ble APTEL in Appeal No. 242 of 2012.	The status of compliance has already been submitted to the Hon'ble Commission on 04-04-2014 vide Letter No. 99-Dir(comm)/UPPTCL/2014 dated 04-04-2014
2	The Commission directs UPPTCL to submit the supplementary audit report of the AGUP for FY 2009-10 and 2010-11.	The status of compliance has already been submitted to the Hon'ble Commission on 04-04-2014.
3	The Commission directs UPPTCL to frame an appropriate policy on capitalization of (i) employee costs, and (ii) A&G expenses	The status of compliance has already been submitted to the Hon'ble Commission on 04-04-2014.
4	The Commission directs UPPTCL to submit the Fresh Actuarial Valuation Study Report in respect to employee expenses.	The status of compliance has already been submitted to the Hon'ble Commission on 04-04-2014.
5	The Commission reiterates its direction to the UPPTCL to ensure proper maintenance of detailed fixed assets registers as specified in the Transmission Tariff Regulations. As the fixed asset registers are pending since FY 2007-08, the Commission directs the UPPTCL to submit a status report and provide the proposed timelines / milestones for clearing the backlog. The Commission understands that clearing the backlog would take substantive time. In order to ensure that fixed asset registers are timely and regularly prepared going forward, the Commission directs the UPPTCL to prepare the fixed asset registers duly accounting for the yearly capitalisations from FY 2012-13 onwards. The capitalisation for the period before that may be shown on gross level basis. This dispensation is merely to ensure that the proper asset registers capturing all necessary details of the asset, including the costs	The status of compliance has already been submitted to the Hon'ble Commission on 04-04-2014.

S No	Description of Directive for UPPTCL / SLDC	Status of Compliance
	incurred, date of commissioning, location of asset, and all other technical details are maintained for the ensuing years. However, the Licensee would also be required to clear the backlog in a time bound manner. Upon finalisation of the Transfer Scheme and clearing of backlog, the Licensee may update the fixed asset registers appropriately by passing necessary adjustments.	
6	The Commission redirects the UPPTCL / SLDC that the ARR / budget for SLDC should be submitted separately along with the ARR submission of TRANSCO. The costs have to be separately identified and not embedded in the TRANSCO ARR.	The status of compliance has already been submitted to the Hon'ble Commission on 04-04-2014 vide Letter No. 99-Dir(comm)/UPPTCL/2014 dated 04-04-2014.
7	The Commission directs UPPTCL to formalise the capacity of transmission system in use by long-term open access customers (Distribution Licensees or generating companies) in accordance with the principle laid down under Clause 3.11 of Transmission Tariff Regulations and based on existing PPAs / MoU's signed by them for purchase or sale of electricity.	It has transpired that the Hon'ble Commission has approved the MYT Regulations for Transmission business and has sent them for notification. It is understood that subsequent to the notification of the MYT Regulations, the Tariff Regulations of 2006 would cease to be applicable. The Licensee would take suitable steps to abide by the stipulations of the new regulations subsequent to its notification.
8	The Commission directs UPPTCL to initiate the process of signing of BPTA with Distribution Licensees who are the existing long-term customers and submit the status on execution of BPTA of the same.	It is to inform that the BPTA with NPCL has already been signed. Appropriate directions are being issued to initiate the process of signing of BPTA. It is requested that appropriate compliance status may be submitted along with the ARR of subsequent year.
9	The Commission directs SLDC to submit organizational structure of SLDC and status of implementation of the same in view of its creation on 24 th January,	The status of compliance has already been submitted to the Hon'ble Commission on 04-04-2014 vide Letter No. 99-

S No	Description of Directive for UPPTCL / SLDC	Status of Compliance
	2011 by a notification of the State Govt.	Dir(comm)/UPPTCL/2014 dated 04-04-2014
10	The Commission directs the UPPTCL to submit a long term business plan in accordance with Clause 2.1.6 of the Transmission Tariff Regulations. The UPPTCL in such business plan shall identify capex projects for the ensuing year and subsequent four years and submit detailed capital investment plan along with a financing plan for undertaking the identified projects in order to meet the requirement of load growth, refurbishment and replacement of equipment, reduction in transmission losses, improvement of voltage profile, improvement in quality of supply, system reliability, metering, communication and computerization, etc.	It has transpired that the Hon'ble Commission has approved the MYT Regulations for Transmission business and has sent them for notification. It is understood that subsequent to the notification of the MYT Regulations, the Tariff Regulations of 2006 would cease to be applicable. The Licensee would take suitable steps to abide by the stipulations of the new regulations subsequent to its notification.
11	The Commission directs the UPPTCL to conduct benchmarking studies to determine the desired performance standards in accordance with Clause 2.1.7 of the Transmission Tariff Regulations.	The status of compliance has already been submitted to the Hon'ble Commission on 04-04-2014 vide Letter No. 99-Dir(comm)/UPPTCL/2014 dated 04-04-2014
12	The Commission directs the UPPTCL to conduct proper loss estimate studies under its supervision so that the Commission may set the base line losses in accordance with Clause 3.3.5 and Clause 3.3.6 of the Transmission Tariff Regulations and submit the report to the Commission.	It has transpired that the Hon'ble Commission has approved the MYT Regulations for Transmission business and has sent them for notification. It is understood that subsequent to the notification of the MYT Regulations, the Tariff Regulations of 2006 would cease to be applicable. The Licensee would take suitable steps to abide by the stipulations of the new regulations subsequent to its notification.
13	The Commission directs the UPPTCL to submit completion report in respect of all capital projects which have achieved the Commercial Operation Date during	It has transpired that the Hon'ble Commission has approved the MYT Regulations for Transmission business and has sent 

S No	Description of Directive for UPPTCL / SLDC	Status of Compliance
	FY 2011-12 in accordance with Clause 3.6.7 of the Transmission Tariff Regulations.	them for notification. It is understood that subsequent to the notification of the MPF Regulations, the Tariff Regulations of 2006 would cease to be applicable. The Licensee would take suitable steps to abide by the stipulations of the new regulations subsequent to its notification. The desired information is conveyed at Hon'ble
14	The Commission directs the UPPTCL to exclude the transmission charges approved by CERC towards transmission lines connecting two States from the overall transmission charges claimed in the next ARR filing for UPPTCL	The status of compliance has already been submitted to the Hon'ble Commission on 04-04-2014 vide Letter No. 99-Dir(comm)/UPPTCL/2014 dated 04-04-2014
15	The Commission directs the Licensee to pressingly pursue the GoUP for finalisation of the Transfer Scheme and submit a copy of the same.	The status of compliance has already been submitted to the Hon'ble Commission on 04-04-2014 vide Letter No. 99-Dir(comm)/UPPTCL/2014 dated 04-04-2014
16	Clause 5.3.5 of the National Electricity Policy states the following: "To facilitate orderly growth and development of the power sector and also for secure and reliable operation of the grid, adequate margins in transmission system should be created. The transmission capacity would be planned and built to cater to both the redundancy levels and margins keeping in view international standards and practices. A well planned and strong transmission system will ensure not only optimal utilization of transmission capacities but also of generation facilities and would facilitate achieving ultimate objective of cost effective delivery of power. To facilitate cost effective transmission of power across the region, a national transmission tariff framework needs to be implemented by CERC. The tariff mechanism would be sensitive to distance, direction and related to quantum of flow. As far as possible, consistency needs	The Petitioner humbly submits that Hon'ble CERC had initiated studies in respect of PoC mechanism and subsequently approved the PoC Regulations. In this background, it would be appropriate that the Hon'ble UPERC initiate such studies and approve a framework for transmission pricing in the State.

S No	Description of Directive for UPPTCL / SLDC	Status of Compliance
	to be maintained in transmission pricing framework in inter-State and intra-State systems. Further it should be ensured that the present network deficiencies do not result in unreasonable transmission loss compensation requirements.” In exercise of the powers conferred under section 178 read with Part V of the Electricity Act, 2003 (36 of 2003), and in line with the above provision of the National Electricity Policy, the Central Electricity Regulatory Commission notified the Regulations i.e. CERC (Sharing of Inter State Transmission Charges and Losses) Regulations, 2010 on 15th June 2010 which came into effect from 1.7.2011. Further, the Clause 7.1 (7) of the National Tariff Policy states the following: “After the implementation of the proposed framework for the inter-State transmission, a similar approach should be implemented by SERCs in next two years for the intra-State transmission, duly considering factors like voltage, distance, direction and quantum of flow.” The National Tariff Policy requires the states to adopt the mechanism similar to the one adopted at the Central level within two years of its implementation at the central level. Hence, the transmission pricing mechanism in line with the PoC mechanism has to be implemented at the state level by June 2013 The Commission directs the UPPTCL to submit load flow studies along with the assessment of various options with regards to transmission pricing, their relative advantages and disadvantages and suitability for adoption in Uttar Pradesh	



U.P. POWER TRANSMISSION CORPORATION LTD.

Name of Transmission licensee

Details of Electrical Accidents

Form No:P3

Type of Accident	No. of Accidents					
	Preceding year			Current year		
	Fatal	Non Fatal	Total	Fatal	Non Fatal	Total
Human	1	0	1	1	0	1
Animal	0	0	0	1	0	1
Total	1	0	1	2	0	2



(P. G. Khandalkar)
Director (Commercial),UPPTCL

U.P. POWER TRANSMISSION CORPORATION LTD.

Name of the Transmission Licensee

Abstract of Outages due to feeder tripping

Form No:P4

S.No.	Detail	Preceding year				Current year			
		No. of feeders	No. of trippings	Total Duration of Trippings (hrs.)	Average duration of interruption per feeder	No. of feeders	No. of trippings	Total Duration of Trippings (hrs.)	Average duration of interruption per feeder
1	Feeder voltage Level (400 KV)	62	1160	2393.25	38.60	64	700	2140.45	33.44
2	Feeder voltage Level (220 KV)	230	1239	1468.97	6.39	229	1346	1852.19	8.09
3	Feeder voltage Level (132 KV)	624	3976	4613.83	7.39	644	3578	3400.43	5.28



(P. G. Khandalkar)
Director (Commercial), UPTCL

Point no. S22: data gaps from UPERC

Point Details: Load Despatch Centre should be independent in their operations. UPPTCL should elaborate on the steps taken to ensure the functioning of SLDC in independent manner both in financial terms and decision making along with the year-wise progress made in this regard.

Licensee's Response:

Section 32(2) of Electricity Act 2003, outlines that the SLDC has to be established by the state government and operated by the STU till such time any other authority/Govt. Company or Corporation is notified by the state government to undertake the same.

Currently, UPSLDC is operating as department of UPPTCL and its accounting is being kept separately as one of the accounting unit within UPPTCL. However to operationalize SLDC accounting function, separate account operations are being worked out and would be streamlined once regular account and audit function of UPPTCL is online which is expected in the FY 2014-15. Currently, UPSLDC is discharging its functions as per SLDC regulations including registration fee per connection, scheduling/rescheduling charges and STOA application fee.

With regard to manpower, the proposal has been sent to GoUP vide letter no. 683 dated 06.08.2012 for which approval of the Government is awaited.

In a phased manner SLDC will achieve envisaged operational, financial and administrative full independency. Activities being performed by SLDC has been categorized in three parts as noted below-

1. Operations and Control
 - a. Control Room round the clock operations in 3 shifts.
 - b. Scheduling and outage Planning.
 - c. Data Management.
 - d. System Studies.



2. Scada and Communication
 - a. SCADA and EMS.
 - B. IT
3. Energy Accounting and settlement
 - a. Energy Accounting & Commercial.
 - b. Balancing and Settlement System.
 - c. Open Access (Short term).
4. Finance and HR functions
 - a. Financial Accounting and Audit, Annual Budget.
 - b. HR incl. Training.

New SLDC building is under construction and will be ready by OCT-2014 where entire SLDC group will shift and commence its operations. In order to achieve real time operations, necessary IT support system are being established along with other capital infrastructure works at par with other SLDCs.

Steps are being initiated to submit Annual SLDC Budget covering under different heads. a) Operating Cost Budget and b) Capital Cost Budget. This cost of operations of SLDC will be recovered from distribution licensees of UP in proportion of either their average co-incidental Peak Demand(CPD) based on latest past 12 months, say Oct. to Sept. period in MW terms, or actual drawl of MUs on latest past 12 months.

Operating cost budget will cover 1) O&M expenses comprising of a) Employees expenses, b) Administrative and General expense and c) Repair and maintenance expenses; 2) Interest on working capital and 3) RLDC Fees & NRPC charges. Capital Cost budget will comprise 1) depreciation of Fixed Asset 2) Interest of Long term loan capital account and 3) ROE.

Annual SLDC budget is adjusted based on actual realization of revenue component covering Income from annual SLDC Fees (to be collected half yearly) and Income from Monthly SLDC operating charges along with actual realization of SLDC registration fees, scheduling/rescheduling charges and other receipts. With the above measures SLDC function will soon be independent in their operations.



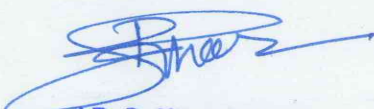
Response to UPERC Datagaps point No. D-9
Sub-para 13.

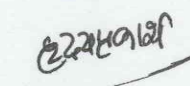
'Annexure - G'

पारेषण स्कन्ध के अन्तर्गत 132 के.वी. एवं उच्च विभव के पारेषण कार्यों के पूर्ण होने की सूची

(01/04/2011 से 31/03/2012)

क्रम सं.	उपकेन्द्र/लाइन	लम्बाई(स.किमी.)/क्षमता(एम.वी.ए)	ऊर्जीकरण की तिथि
I	लाइन (स.किमी.)		
A	765 के.वी.		
1	765 के0वी0 आनपारा-उन्नाव लाइन (पूर्व में 409.03 स.किमी. लाइन 400के0वी0 विभव पर ऊर्जीकृत थी)	1.9	24/01/12
	dy ;lx (A)	1.90	
B	400 के.वी.		
	शून्य		
	dy ;lx (B)	0.00	
C	220 के.वी.		
1	220केवी पनकी-फतेहपुर लाइन का लीलो कानपुर साउथ पर	2x3.7	20/04/11
2	220केवी ग्रेटर नौयडा-सेक्टर-129,नौयडा डी0सी0 लाइन	2x23.88	04/06/11,18/06/11
3	220केवी पनकी-उन्नाव लाइन का बिठूर पर लीलो	2x5.5	09/06/11
4	220केवी रोजा-बदायूँ II सर्किट	95.45	13/06/11
5	220केवी नेहटौर-मटौर लाइन	73.10	30/09/11
6	220के0वी0 सारनाथ-बीरापट्टी (रेलवे) लाइन	23.60	18/01/12
7	220के0वी0 बांदा-पारीक्षा लाइन	210.00	29/03/12
	dy ;lx (C)	468.310	
D	132 के.वी.		
1	132के.वी. जानसठ-खतौली लाइन का लीलो 400 के.वी. मुजफ्फरनगर पर	2x19.91	16/05/11
2	132 के.वी. पीलीभीत-बरखेरा बजाज शुगर मिल लाइन का डाइवर्जन	6.00	17/05/11
3	132 के.वी. सोनिक-बीघापुर लाइन	40.00	26/05/11
4	132केवी देवरिया-नूनखर लाइन (रेलवे)	16.00	22/07/11
5	132केवी फिरोजाबाद-नसीरपुर लाइन	28.425	11/08/11
6	132केवी शाहजहाँपुर(220)-रोजा (रेलवे) लाइन	8.53	30/08/11
7	132के0वी0 बांगरमऊ-चकलवंशी लाइन	38.56	03/10/11
8	132के0वी0 मोहददीपुर-शत्रुधनपुर लाइन का लीलो 220केवी गोरखपुर द्वितीय पर	2x4.5	21/10/11
9	132के0वी0 ग्रेटर नौयडा-आर0सी0ग्रीन0-घरबारा-जे0पी0स्पॉटस	24.00	26/10/11
10	132के0वी0 बलरामपुर-उतरौला लाइन	18.50	31/10/11
11	132केवी आगरा(400)-दयालबाग लाइन	1.20	14/11/11
12	132केवी बबराला-टाटा कैमिकल लाइन	2.355	02/11/11
13	132केवी दादानगर-ए टू जैड लाइन	3.11	30/11/11
14	132के0वी0 पारीक्षा-हँसारी- II लाइन का लीलो 220के0वी झांसी पर	2x1	12/12/11


(P. G. Khandalkar)
Director (Commercial), UPPTCL


Signature

D-9-13.1

क्रम सं.	उपकेन्द्र / लाइन	लम्बाई(स.किमी.) / क्षमता(एम.वी.ए)	ऊर्जाकरण की तिथि
15	132के0वी0 राठ-महोबा लाइन	50.853	24/12/11
16	132के0वी0 भरथना-बिधूना लाइन	32.22	27/12/11
17	132के0वी0 टांडा, रामपुर-राना शुगर लाइन	10.00	18/01/12
18	132के0वी0 गोण्डा(220)-कुन्दरखी- प्रथम लाइन	19.96	01/02/12
19	132के0वी0 गजरौला(220)-गजरौला लाइन	9.00	06/02/12
20	132के0वी0 गजोखर(220) -केराकट लाइन	15.172	08/02/12
21	132के0वी0 बरखेरा-पीलीभीत-द्वितीय लाइन	19.996	10/02/12
22	132के0वी0 बरखेरा-पीलीभीत-प्रथम लाइन	19.996	14/02/12
23	132के0वी0 खम्बरखेरा-निघासन डी0सी0 लाइन (शारदा नदी तक का हिस्सा) 132के0वी0 लखीमपुर-पलिया लाइन से जोड़ कर ऊजीकृत	17.000	18/02/12
24	132के0वी0 सम्मल(220)-सैद नगली लाइन	32.00	20/02/12
25	132के0वी0 खुर्जा-जट्टारी लाइन	35.20	24/03/12
26	132के0वी0 नहतौर-लक्सर लाइन का लीलो 132के0वी0 चन्दक पर	2x4.5	31/03/12
	dy ; kx (D)	507.897	
II	उपकेन्द्र (एम वी ए)		
A	765 के.वी.		
1	उन्नाव (नया)	1000	24/01/12
	dy ; kx (A)	1000.00	
B	400 के.वी.		
1	मुरादनगर विस्तार	III (315-240)	21/01/12
2	मुरादाबाद विस्तार	II (315-240)	20/02/12
	dy ; kx (B)	150.00	
C	220 के.वी.		
1	कानपुर साउथ (नया)	I 60	20/04/11
2	गोरखपुर द्वितीय विस्तार	II 160	27/05/11
3	सेक्टर-129, नौयडा (नया)	2x160	04/06/11
4	कानपुर साउथ विस्तार	II 60	04/06/11
5	बिदूर (नया)	2x160	04/07/11, 16/07/11
6	कानपुर साउथ विस्तार	III 60	31/07/11
7	शामली विस्तार	60	05/08/11
8	बांदा विस्तार	I (160-100)	18/08/11
9	400केवी उन्नाव विस्तार	160	23/09/11
10	शताब्दी नगर विस्तार	II (160-100)	17/11/11
11	भरथना विस्तार	II 100	04/12/11
12	झांसी (एल.वी. साइड) (नया)	I 100	27/01/12
13	ननौता विस्तार	100	22/03/12
14	सिकन्दरा, आगरा विस्तार	I (160-100)	25/03/12


(P. G. Khandalkar)
Director (Commercial), UPPTCL

₹ (c) 1680.00


₹ 24,54,000/-
D-9-13.2

क्रम सं.	उपकेन्द्र / लाइन	लम्बाई(स.किमी.) / क्षमता(एम.वी.ए)	ऊर्जीकरण की तिथि
D	132 के.वी.		
१	बरेली टाउन विस्तार	I (63-40)	04/04/11
2	खेकड़ा (नया)	I 40	07/04/11
3	मेजा रोड विस्तार	I (40-20)	16/04/11
४	पुवायाँ विस्तार	I (40-20)	18/04/11
5	गाजीपुर विस्तार	II (40-20)	20/04/11
6	सोरोंव विस्तार	I (40-20)	30/04/11
७	बढ़हलगंज विस्तार	II 20	07/05/11
8	भूर विस्तार	I (40-20)	09/05/11
9	220केवी साहूपुरी विस्तार	20	12/05/11
१०	220केवी सीतापुर विस्तार	III (40-20)	18/05/11
11	वैशाली विस्तार	I (63-40)	21/05/11
12	केराकट विस्तार	I (40-20)	23/05/11
१३	बिसौली विस्तार	II (40-20)	27/05/11
14	राठ विस्तार	I (40-20)	28/05/11
15	220केवी सेक्टर-129, नौयडा	2x40	04/06/11, 06/07/11
१६	घौरहरा (नया)	२०	18/06/11
17	सम्भल विस्तार	20	14/06/11
18	मेरठ रोड, गाजियाबाद विस्तार	(63-20)	29/06/11
१९	कसिया विस्तार	II (40-20)	06/07/11
20	शाहजहाँपुर विस्तार	I (40-20)	07/07/11
21	220केवी अतरौली विस्तार	I (63-40)	07/07/11
२२	हापुड़ रोड विस्तार	II (40-20)	08/07/11
23	220केवी साहिबाबाद विस्तार	II (63-40)	12/07/11
24	220केवी बिठूर	I 40	16/07/11
२५	चौदपुर विस्तार	I (63-40)	19/07/11
26	गौरीगंज विस्तार	I (40-20)	22/07/11
27	बोनेर विस्तार	20	26/07/11
२८	बिलारी विस्तार	I (40-20)	27/07/11
29	बिलासपुर विस्तार	II (40-20)	02/08/11
30	220केवी नेहटौर विस्तार	I (63-40)	04/08/11
३१	मेहदावल (एल.वी.साइड) (नया)	I 20	30/08/11
32	220केवी सम्भल विस्तार	20	30/08/11
33	जट्टारी (एल.वी.साइड) (नया)	I 40	28/09/11
३४	नगली किठौर विस्तार	II (40-20)	07/09/11
35	आर0सी0ग्रीन विस्तार	63	10/09/11
36	मिर्जापुर विस्तार	I (63-40)	11/09/11


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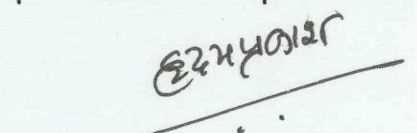
क्रम सं.	उपकेन्द्र / लाइन	लम्बाई(स.किमी.) / क्षमता(एम.वी.ए)	ऊर्जीकरण की तिथि
37	220केवी शताब्दी नगर विस्तार	III 40	16/09/11
38	220केवी रीवा रोड विस्तार	II (40-20)	27/09/11
39	आनन्द नगर विस्तार	II (40-20)	27/09/11
40	सिंघावली विस्तार	II (40-20)	30/09/11
41	एस0जी0पी0जी0आई0 लखनऊ विस्तार	III 40	03/10/11
42	हापुड़-द्वितीय विस्तार	II 40	09/10/11
43	चन्दौसी विस्तार	20	10/10/11
44	खेकड़ा विस्तार	II 40	10/10/11
45	रामपुर विस्तार	III(40-20)	17/10/11
46	डी0पी0एच0 (एल.वी.साइड) (नया)	I 40	20/10/11
47	टांडा,रामपुर विस्तार	I (40-20)	21/10/11
48	बोदला विस्तार	(63-20)	01/11/11
49	चुनार विस्तार	II (40-20)	09/11/11
50	दयालबाग (नया)	2x40	15/11/11
51	वाराणसी कैन्ट विस्तार	I (63-40)	24/11/11
52	मंझनपुर विस्तार	II-20	25/11/11
53	मार्टिनपुरवा, लखनऊ विस्तार	I (63-40)	30/11/11
54	प्रतापगढ़ विस्तार	II (40-20)	13/12/11
55	शंकरगढ़ विस्तार	II 20	28/12/11
56	220के0वी0 झांसी	I 40	15/12/11
57	औरई विस्तार	II (40-20)	24/01/12
58	220के0वी0 सिम्भावली विस्तार	I (63-40)	02/01/12
59	खागा विस्तार	I (40-20)	13/01/12
60	कुण्डेसर विस्तार	I (40-20)	21/01/12
61	रोबर्टसगंज विस्तार	II (40-20)	19/01/12
62	220के0वी0 सिकन्दराबाद विस्तार	III 40	24/01/12
63	गोपीगंज विस्तार	I (40-20)	24/01/12
64	डी0पी0एच0 (एल.वी. साइड) विस्तार	II 40	27/01/12
65	जट्टारी (एल.वी. साइड) विस्तार	II 40	31/01/12
66	चकलवंशी (नया)	20	03/02/12
67	बिधुना (नया)	20	21/02/12
68	220के0वी0 सेक्टर-129,नौयडा विस्तार	63	07/02/12
69	बदलापुर विस्तार	II 20	03/02/12
70	सैद नगली विस्तार	II 20	20/02/12
71	चन्दक (नया)	2x20	31/03/12
72	आवास-विकास, मुरादाबाद विस्तार	II 20	02/03/12
73	मिल्कीपुर विस्तार	II 20	03/03/12
74	गंजडुण्डवारा विस्तार	II 20	16/03/12


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क्रम सं.	उपकेन्द्र / लाइन	लम्बाई (स.किमी.) / क्षमता (एम.वी.ए)	ऊर्जीकरण की तिथि
75	बदायूं विस्तार	I (63-40)	28/03/12
	dy ; kx (D)	2045.00	
III	कैपेसिटर्स (एम वी ए आर)		
1	132 के.वी. दर्शननगर, फैजाबाद	१०	04/04/11
2	132 के.वी. बांगरमऊ	१०	27/04/11
3	132 के.वी. डिबई	१०	27/04/11
4	133 के.वी. पलिया	१०	29/04/11
5	220 के.वी. साहूपुरी	२१२०	30/06/11
6	132 के.वी. गंगोह	10	29/06/11
7	132 के.वी. अम्बाला रोड	१०	15/07/11
8	220 के.वी. गजरौला	४०	20/08/11
9	132 के.वी. सारसौल	10	03/09/11
10	132 के.वी. लालगंज (प्रतापगढ़)	10	15/09/11
11	132 के.वी. झूसी	10	29/09/11
12	220 के.वी. पनकी	40	14/10/11
13	220 के.वी. सम्भल	40	20/10/11
14	132 के.वी. हण्डिया	10	19/10/11
15	220 के.वी. नौबस्ता कानपुर	40	10/11/11
16	220 के.वी. शामली	2x10	30/11/11
17	220 के.वी.0 सिकन्दराबाद	40	03/12/11
18	220 के.वी.0 अतरौली	40	03/12/11
19	132 के.वी.0 नोएडा सेक्टर-45	10	12/12/11
20	132 के.वी.0 हंसारी	10	19/12/11
21	220 के.वी.0 मोदीपुरम	2x10	29/12/11, 30/12/11
22	132 के.वी.0 सरधना	10	23/01/12
23	132 के.वी.0 नोएडा सेक्टर-45	10	28/01/12
24	132 के.वी.0 परतापुर	10	22/02/12
25	220 के.वी.0 मैनपुरी	10	24/02/12
26	220 के.वी.0 ननौता	40	27/02/12
27	132 के.वी.0 बागपत	10	29/02/12
28	132 के.वी.0 नौयडा सेक्टर-66	2x10	05/03/12
29	132 के.वी.0 दादा नगर	10	18/03/12
30	220 के.वी.0 बड़ौत	10	22/03/12
31	220 के.वी.0 एटा	10	29/03/12
	dy ; kx	580	
IV	नये 400 / 220 / 132 / 33 के.वी. बे		
1	220 के.वी. बीरापट्टी रेलवे बे 400 के.वी. सारनाथ पर	1 नग	26/07/11


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क्रम सं.	उपकेन्द्र / लाइन	लम्बाई(स.किमी.) / क्षमता(एम.वी.ए)	ऊर्जीकरण की तिथि
2	220के0वी0 चरला बे 400के0वी0 मुजफ्फरनगर पर	1 नग	02/02/12
3	220के0वी0 पारीक्षा बे 220के0वी0 बांदा पर	1 नग	28/02/12
4	132के.वी. मैनपुरी बे 220के.वी. एटा पर	1 नग	23/06/11
5	132केवी टी.सी.एल. बे 132केवी बबराला पर	1 नग	10/08/11
6	132केवी नसीरपुर बे 220केवी फिरोजाबाद पर	1 नग	11/08/11
7	132 के0वी0 सथरिया बे 132 के0वी0 मछलीशहर पर	1 नग	10/10/11
8	132केवी दयालबाग बे 400केवी आगरा पर	1 नग	14/11/11
9	132केवी ए टू जैड बे 132केवी दादानगर कानपुर पर	1 नग	30/11/11
10	132के0वी0 पारीछा बे 220के0वी0 झांसी पर	1 नग	12/12/11
11	132के0वी0 हँसारी बे 220के0वी0 झांसी पर	1 नग	12/12/11
12	132के0वी0 राठ बे 132के0वी0 महोबा पर	1 नग	24/12/11
13	132के0वी0 महोबा बे 132के0वी0 राठ पर	1 नग	24/12/11
14	132के0वी0 को-जेन बे 132के0वी0 उत्तरौला पर	1 नग	24/12/11
15	132के0वी0 बलरामपुर बे 132के0वी0 उत्तरौला पर	1 नग	24/12/11
16	132के0वी0 बिधूना बे 220के0वी0 भरथना पर	1 नग	27/12/11
17	132के0वी0 राना शुगर बे 132के0वी0 टांडा पर	1 नग	18/01/12
18	132के0वी0 सैद नगली बे 220के0वी0 सम्भल पर	1 नग	20/02/12
19	132के0वी0 जट्टारी बे 220के0वी0 खुर्जा पर	1 नग	24/03/12
20	132के0वी0 यदु शुगर लि0 बे 132के0वी0 बिसौली पर	1 नग	29/03/12
21	33केवी दरखेडा बे 132केवी झिंझाना पर	1 नग	20/04/11
22	33केवी जैक बस बे 132केवी खैर पर	1 नग	20/04/11
23	33केवी मलखान सिंह हास्पिटल बे 132केवी सारसौल पर	1 नग	25/04/11
24	33 के.वी. हरीओम इन्डस्ट्रीस बे 220 के.वी. पनकी पर	1 नग	01/05/11
25	33 के.वी. डी.पी.एच. बे 132 के.वी. लालकुआं पर	1 नग	02/05/11
26	33 के.वी. कवीनगर-II बे 132 के.वी. लालकुआं पर	1 नग	02/05/11
27	33 के.वी. सिटी सेन्टर बे 132 के.वी. लालकुआं पर	1 नग	02/05/11
28	33 के.वी. संजय नगर बे 132 के.वी. मेरठ रोड पर	1 नग	03/05/11
29	33 के.वी. एम.जी. हास्पिटल बे 132 के.वी. मोहन नगर पर	1 नग	10/05/11
30	33 के.वी. फ्रेंच डेवलपर्स बे 132 के.वी. मोहन नगर पर	1 नग	10/05/11
31	33 के.वी. प्यारालाल शर्मा हास्पिटल बे 132 के.वी. मेडिकल पर	1 नग	21/05/11
32	33 के.वी. हाजीपुर रोहनी बे 132 के.वी. थाना भवन पर	1 नग	30/05/11
33	33 के.वी. भसानी इस्लामपुर बे 132 के.वी. थाना भवन पर	1 नग	30/05/11
34	33 के.वी. वर्कशॉप बे 132 के.वी. थाना भवन पर	1 नग	30/05/11
35	33के.वी. जे0पी0 सीमेन्ट बे 220के.वी. सिकन्दराबाद पर	1 नग	07/06/11
36	33के.वी. नारायणा बे 132के.वी. जॉली रोड पर	1 नग	11/06/11


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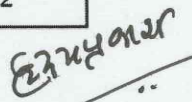
क्रम सं.	उपकेन्द्र / लाइन	लम्बाई(स.किमी.)/ क्षमता(एम.वी.ए)	ऊर्जाकरण की तिथि
37	33के.वी. बरवाला बे 132के.वी. छपरौली पर	1 नग	18/06/11
38	33के.वी. रसूलपुर बुराकी बे 132के.वी. पुरकाजी पर	1 नग	20/06/11
39	33के.वी. स्टेशन सप्लाई बे (400के.वी. मुजफ्फरनगर) 132के.वी. जौली रोड पर	1 नग	21/06/11
40	33के.वी. गोयल एडिबल प्रा0 लि0 बे 132के.वी. कौड़ी राम पर	1 नग	22/06/11
41	33के.वी. मर्याली बे 132के.वी. जौली रोड पर	1 नग	29/06/11
42	33के.वी. बी0 एण्ड सी0 बे 220के.वी. साहिबाबाद पर	1 नग	29/06/11
43	33के.वी. भवारी बे 132के.वी. थाना भवन पर	1 नग	30/06/11
44	33के.वी. ए.ओ.बी. फीडर बे 132के.वी. सोनिक पर	1 नग	23/06/11
45	33के.वी. तलगांव बे 220के.वी. सीतापुर पर	1 नग	23/06/11
46	33के.वी. पम्प कनाल बे 220के.वी. सीतापुर पर	1 नग	23/06/11
47	33के.वी. मेडिकल बे 132के.वी. बोनर पर	1 नग	23/06/11
48	33के.वी. पम्प कनाल बे 132के.वी. सिधौली पर	1 नग	26/06/11
49	33के.वी. महामाया हॉस्पिटल बे 132के.वी. टाण्डा पर	1 नग	29/06/11
50	33के.वी. बनवारी बे 132के.वी. खरड़ पर	1 नग	01/07/11
51	33के.वी. महरीपुर पम्प कनाल बे 132के.वी. अकबरपुर पर	1 नग	04/07/11
52	33के.वी. पी.जी.सी.आई.एल. बे 220 के.वी. चिनहट पर	1 नग	15/07/11
53	33के.वी. जे.एच.वी. बे 132के.वी. चुनार पर	1 नग	22/07/11
54	33के.वी. उस्मानुर बे 132के.वी. चुनार पर	1 नग	08/08/11
55	33के.वी. तिबेतियन बे 132के.वी. सारनाथ पर	1 नग	09/08/11
56	33के.वी. लघुडाल बे 132के.वी. जमनिया पर	1 नग	25/08/11
57	33के.वी. रायपुर बे 132के.वी. जैनपुर पर	1 नग	03/09/11
58	33के.वी. बबना बे 132के.वी. कायमगंज पर	1 नग	03/09/11
59	33के.वी. एल-ब्लाक बे 132के.वी. लोहिया नगर पर	1 नग	07/09/11
60	33के.वी. उलेडा बे 220के.वी. नेहटौर पर	1 नग	12/09/11
61	33के.वी. चोचकपुर लघु डाल बे 132 के.वी. सैदपुर पर	1 नग	13/09/11
62	33के.वी. बे 220के.वी. शामली पर	1 नग	15/09/11
63	33के.वी. यू.पी.एस.आई.डी.सी. बे 132के.वी. गजरौला पर	1 नग	22/09/11
64	33के.वी. भोलेपुर बे 132के.वी. फतेहगढ़ पर	1 नग	26/09/11
65	33के.वी. एअर फोर्स बे 132के.वी. अम्बाला रोड पर	1 नग	26/09/11
66	33के.वी. एम.डी.ए. बे 132के.वी. लोहिया नगर पर	1 नग	28/09/11
67	33के.वी. बे 220के.वी. सम्मल पर	2 नग	30/09/11
68	33के.वी. बे 132के.वी. सम्मल पर	2 नग	30/09/11
69	33के.वी. बैनको बे 132के.वी. छुटमलपुर पर	1 नग	30/09/11
70	33के.वी. छोला बे 220के.वी. खुर्जा पर	1 नग	30/09/11
71	33के.वी. एम.डी.ए. पौकेट-6 बे 132के.वी. हापुड़ रोड पर	1 नग	05/10/11

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क्रम सं.	उपकेन्द्र / लाइन	लम्बाई(स.किमी.) / क्षमता(एम.वी.ए)	ऊर्जीकरण की तिथि
72	33केवी आई.ए.आई. बे 132केवी जौली रोड पर	1 नग	09/10/11
73	33केवी रामगढ़ पम्प कैनाल बे 132केवी मऊ(नया) पर	1 नग	20/10/11
74	33केवी (हाजीपुर/टिसवॉ) नलकुप बे 132केवी फरीदपुर पर	1 नग	05/11/11
75	33केवी टैंडवा पम्प कैनाल बे 132केवी केराकट पर	1 नग	17/11/11
76	33केवी चौधरपुर बे 132केवी आवास विकास पर	1 नग	17/11/11
77	33केवी बिदूर बे 220केवी बिदूर पर	1 नग	20/11/11
78	33केवी दयानन्द बिहार बे 220केवी बिदूर पर	1 नग	20/11/11
79	33केवी डा0 शकुन्तला मिश्रा विश्वविद्यालय बे 220 केवी हरदोई रोड पर	1 नग	28/11/11
80	33केवी0 बहोरखा बे 132केवी0 गौरीगंज पर	1 नग	01/12/11
81	33केवी0 सुभाष पार्क बे 132केवी0 खुरमनगर, लखनऊ पर	1 नग	01/12/11
82	33केवी0 धनीपुर बे 132केवी0 बोनेर पर	1 नग	09/12/11
83	33केवी0 नेवाजगंज पम्प कैनाल बे 132केवी0 चन्दौली पर	1 नग	24/12/11
84	33केवी0 गोदी बे 132केवी0 बिलासपुर पर	1 नग	24/12/11
85	33केवी0 बिथरीपुर बे 132केवी0 गौरीगंज पर	1 नग	25/12/11
86	33केवी0 मानिकपुर पम्प कैनाल बे 132केवी0 कुण्डा पर	1 नग	28/12/11
87	33केवी0 लाप्लास बे 132केवी0 मार्टिनपुरवा, लखनऊ पर	1 नग	28/12/11
88	33केवी0 विधानसभा बे 132केवी0 मार्टिनपुरवा, लखनऊ पर	1 नग	28/12/11
89	33केवी0 बटलरपैलेस बे 132केवी0 मार्टिनपुरवा, लखनऊ पर	1 नग	28/12/11
90	33केवी0 बुधाना टाउन बे 132केवी0 बुधाना पर	1 नग	18/01/12
91	33केवी0 हेल्थ केयर बे 132केवी0 बदलापुर पर	1 नग	21/01/12
92	33केवी0 निपरो ग्लास बे 132केवी0 मवाना पर	1 नग	25/01/12
93	33केवी0 दपसौरा पम्प कैनाल बे 132केवी0 जहानाबाद पर	1 नग	25/01/12
94	33केवी0 ए0बी0 मौरी बे 132केवी0 सिकन्दराबाद पर	1 नग	30/01/12
95	33केवी0 लखनपुर पम्प कैनाल बे 132केवी0 करछना पर	1 नग	31/01/12
96	33केवी0 भोले कॉर्न कास्ट लिमि0 बे 132केवी0 अलीगढ़ तृतीय पर	1 नग	06/02/12
97	33केवी0 चौधरपुर बे 132केवी0 आवास-विकास पर	1 नग	06/02/12
98	33केवी0 अकोड़ी बे 132केवी0 पुखरायों पर	1 नग	10/02/12
99	33केवी0 खडलना बे 132केवी0 गंगोह पर	1 नग	11/02/12
100	33केवी0 नियारडीह पम्प कैनाल बे 132केवी0 गजोखर पर	1 नग	29/02/12
101	33केवी0 मॉडा पम्प कैनाल बे 132केवी0 मेजा रोड पर	1 नग	29/02/12
102	33केवी0 टेलीकोम बे 220केवी0 सिकन्दराबाद पर	1 नग	02/03/12
103	33केवी0 सोलर पावर प्लांट बे 132केवी0 नैनी काम्पलैक्स पर	1 नग	04/03/12
104	33केवी0 पक्षी विहार बे 132केवी0 जलेसर पर	1 नग	24/03/12
105	33केवी0 पादरी बे 132केवी0 चुनार पर	1 नग	25/03/12
106	33केवी0 एसीया बे 220केवी0 खुर्जा पर	1 नग	26/03/12
107	33केवी0 उझारी बे 132केवी0 सैदनगली पर	1 नग	30/03/12
108	33केवी0 बुरावली बे 132केवी0 सैदनगली पर	1 नग	30/03/12


 (P. G. Khandalkar)
 Director (Commercial), UPPTCL


 D-9-13.7

क्रम सं.	उपकेन्द्र/लाइन	लम्बाई(स.किमी.)/ क्षमता(एम.वी.ए)	ऊर्जीकरण की तिथि
V	अन्य कार्य		
1	220केवी उपकेन्द्र मुरादाबाद पर 132/37.5केवी प्रणाली को हटा कर 132/33केवी प्रणाली की स्थापना	40MVA, 132/33KV- 132/37.5KV	28/04/11
2	132केवी उपकेन्द्र झांसी,हसारी (क्षतिग्रस्त के विरुद्ध)	(20-25MVA), 132/36KV	30/06/11
3	400केवी डी.सी. उन्नाव-बरेली लाइन का गर्ग नदी पर कासिंग टावर न0-456 का कार्य पूर्ण तथा लाइन ऊर्जीकृत		17/07/11
4	33 केवी भूडनगरीया एंव जैक बस बे से पुराने 33 केवी ब्रेकर हटाकर नये ब्रेकर लगाना		29/09/11
5	220के0वी शामली पर मल्सीफायर सिस्टम की स्थापना		17/03/12

(2011-12)

||kj'k'k&

नये ऊर्जीकृत उपकेन्द्र

(1) 765 केवी उपकेन्द्र - 1 नग	1000 एमवीए
(2) 400 केवी उपकेन्द्र - शून्य	
(3) 220 केवी उपकेन्द्र - 4 नग	920 एमवीए
(4) 132 केवी उपकेन्द्र - 9 नग	440 एमवीए

उपकेन्द्रों की क्षमता वृद्धि

(1) 400 केवी उपकेन्द्र - 2 नग	150 एमवीए
(2) 220 केवी उपकेन्द्र - 8 नग	760 एमवीए
(3) 132 केवी उपकेन्द्र - 63 नग	1605 एमवीए

नयी ऊर्जीकृत लाइनें

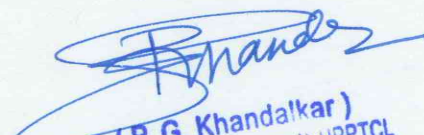
(1) 765 केवी लाइन	1.900 सर्किट कि.मी.
(2) 400 केवी लाइन	0.000 सर्किट कि.मी.
(3) 220 केवी लाइन	468.310 सर्किट कि.मी.
(4) 132 केवी लाइन	507.897 सर्किट कि.मी.

कैपेसिटर्स:-

132केवी -320 एमवीएआर
33केवी -260 एमवीएआर

ऊर्जीकृत बे:-

220केवी - 03 नग
132केवी - 17 नग
33केवी - 90 नग


(P. G. Khandalkar)
Director (Commercial), UPPTCL

हस्ताक्षर

D-9-13.7

17th April, 2014

Uttar Pradesh Power Transmission Corporation Ltd. (UPPTCL)

UPPTCL's Petition for review of the Commission's Order dated 31 May, 2013 on Suo-moto determination of ARR and Tariff for FY 2013-14 along with true-up for FY 2008-09, FY 2009-10 and FY 2010-11

&

UPPTCL's Petition for Annual Revenue Requirement and Tariff for FY 2014-15 in accordance with the Uttar Pradesh Electricity Regulatory Commission (Terms and Conditions for Determination of Transmission Tariff) Regulations, 2006

3rd Set of reply

Pending / Additional Information Requirement / Discrepancies in the Petitions

Note:

- 1) Petitioner should submit the replies in soft copy and hard copy.
- 2) All the letters / correspondence should be submitted in scanned PDF copy also.
- 3) In case any submission has already been submitted to the Commission, the same should be re-submitted to make it part of the present proceedings.

UPPTCL was asked to submit the desired information on the preliminary datagaps raised vide letter dated 22nd February, 2014. UPPTCL vide its reply dated 21st March, 2014 submitted some of the information. The additional information pending to be submitted / not submitted to the satisfaction is reproduced below. It is pertinent to note that the information sought is crucial for the analysis of the Petitions and in the determination of ARR and Tariff for FY 2014-15 or any other related matter and the same should be submitted.

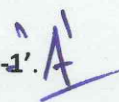
Reference: Petitioner's reply dated 21st March, 2014 on the preliminary queries raised by the Commission

A. Revenue side true-up

1. (Ref: Query No. 1 of the preliminary datagaps) UPPTCL was asked to submit the details of its revenue from Distribution Licensees, other Long Term Open Access Consumers, Short Term Open Access Consumers, SLDC charges and any other (specify details) for FY 2007-08 to FY 2012-13 reconciling with the audited accounts in the specified formats. UPPTCL vide its reply dated 21

March, 2014 has not submitted the details separately in the specified formats. UPPTCL should submit the details in the specified formats.

Licensee's Response

The detailed break-up of the revenue is annexed hereto and marked as 'Annexure-1'. 

B. True-Up for FY 2011-12

- ✓ 2. (Ref: Query No. 5 of the preliminary datagaps) UPPTCL was asked to submit the complete details of prior period expenses claimed for FY 2011-12 and rational for including the same in true-up when all these expenses are approved by the Commission on normative basis. UPPTCL vide its reply dated 21 March, 2014 submitted that the desired information would be submitted in due course. UPPTCL should submit the same.

Licensee's Response -

C. ARR Petition for FY 2014-15

Compliance to directives

- ✓ 3. (Ref: Query No. 8 of the preliminary datagaps) UPPTCL was asked to submit the compliance to the directives issued by the Commission vide its Order dated 21 May, 2013 on true-up for FY 2000-01 to FY 2007-08. UPPTCL vide its letter dated 21 March, 2014 submitted that information has already been submitted to the Hon'ble Commission through letter no. 2000/RAU/True up dated 27.06.2013. UPPTCL should submit the same along with this Petition for ARR for FY 2014-15.

Licensee's Response

The desired information is annexed hereto and marked as 'Annexure-2'.

- ✓ 4. (Ref: Query No. 9 of the preliminary datagaps) UPPTCL was asked to submit the compliance to the directives issued by the Commission vide its Order dated 31 May, 2013 on suo-moto determination of ARR and Tariff for FY 2013-14. UPPTCL vide its reply dated 21 March, 2014 submitted that the desired information would be submitted in due course. UPPTCL should submit the same.

Licensee's Response

The reply on compliance to the directives issued by the Commission has already been submitted to Hon'ble Commission in the second set of replies vide letter no. 99-Dir (comm)/UPPTCL/2014 dated 04-004-2014, except for point nos. 7 , 10, 12, 13 and 16 for which UPPTCL had humbly requested for some more time.

- ✓ 5. (Ref: Query No. 10 of the preliminary datagaps) UPPTCL was asked to submit the details of allotted transmission capacity (in MW) among the beneficiaries using its Transmission system for the Distribution Licensees and other open access consumers. UPPTCL vide its reply dated 21 March, 2014 submitted that the desired information would be submitted in due course. UPPTCL should submit the same.

Licensee's Response

Submission of duly filled formats

- ✓ 6. (Ref: Query No. 12 of the preliminary datagaps) UPPTCL was asked to submit the duly filled in ARR and Tariff formats as specified by the Uttar Pradesh Electricity Regulatory Commission (Terms and Conditions for Determination of Transmission Tariff) Regulations, 2006 showing the details from FY 2007-08 onwards. UPPTCL vide its letter dated 21 March, 2014 submitted that the desired information would be submitted in due course. UPPTCL should submit the same.

Licensee's Response

The information in respect to Form Nos. S2, S3, S4, S8, F5, F5b, F7, F18, F20, P2, P6 and P8 has already been submitted to Hon'ble Commission in the second set of replies (at Annexure-B) vide letter no. 99-Dir (comm)/UPPTCL/2014 dated 04-004-2014, except for form nos. P3, P4, P5, P7, P9, P9a and P11, for which UPPTCL had humbly requested for some more time.

- ✓ 7. (Ref: Query No. 13 of the preliminary datagaps) UPPTCL was asked to submit the computations of charges to be paid by Long Term Transmission System Customer/month submitted in Form No. F2 (S. No. A) in workable excel form with appropriate formulae. UPPTCL vide its reply dated 21 March, 2014 submitted that the details under S. No. B (Bulk Consumers/Long Term Open Access Consumers (If any)) of Form No. F2 have not been submitted as there are no long-term open access customers other than the distribution licensees. UPPTCL has not submitted the desired computations in its reply dated 21 March, 2014. UPPTCL should submit the computations submitted in S. No. A of Form No. F2.

Licensee's Response

Currently there are no long-term open access customers of UPPTCL other than the distribution licensees. Hence the details under S No. (B) titled 'Bulk Consumers/Long Term Open Access Consumers (If any)' have been left blank in the tariff form F2 submitted along with the ARR Petition.

- ✓ 8. (Ref: Query No. 15 of the preliminary datagaps)UPPTCL was asked to submit the basis and computations of capitalisation of Employee and A&G expenses submitted for FY 2014-15. UPPTCL vide its reply dated 21 March, 2014 submitted the basis for considering the capitalisation of employee expenses and A&G expenses and computations of capitalisation rate considered for employee expenses. UPPTCL should submit the computations of capitalisation rate of A&G expenses considered for FY 2014-15.

Licensee's Response

- ✓ 9. (Ref: Query No. 16 of the preliminary datagaps)UPPTCL was asked to submit the details of its Transmission system in the specified format. UPPTCL vide its reply dated 21 March, 2014 submitted that the desired information would be submitted in due course. UPPTCL should submit the same.

Licensee's Response

The details of transmission system capacity has already been submitted to Hon'ble Commission in the second set of replies (at Annexure-C) vide letter no. 99-Dir (comm)/UPPTCL/2014 dated 04-004-2014.

10. (Ref: Query No. 17 of the preliminary datagaps)UPPTCL was asked to submit the O&M expenses for its Transmission network in the specified format. UPPTCL was also asked to submit the comparison of its O&M expenses category wise with the O&M expenses of Transmission Licensees in all the States in the Northern region. UPPTCL vide its reply dated 21 March, 2014 submitted that there is no segregation of staff and other expenses as per separate lines and bays for different voltage levels. UPPTCL should apportion its expenses among Lines, Bays and substations and submit the same in the specified format along with the rationale adopted for apportionment. In this regard, Regulation 4.2(4) of the UPERC (Terms and Conditions for Determination of Transmission Tariff) Regulations, 2006 specifies as under:

"However, the Commission may direct the utilities to bring down the O & M expenses to an efficient level i.e., by fixing norms based on the circuit kilometers of transmission lines, transformation capacity at the sub-stations, number of bays in substation etc. of similarly placed efficient utilities, within such span of time, as may be determined by the Commission."

UPPTCL should submit the desired information in compliance to the above stated Regulation.

Licensee's Response

✓ As per the current structure and scheme of things, the accounts are prepared at each division level. Multiple lines and bays of different voltage levels are generally under the jurisdiction of a single division which prepares accounts on composite basis for the area under its jurisdiction. There is no segregation of staff and other expenses as per separate lines and bays for different voltage levels. It is also pertinent to mention that there is no prescription of maintenance of separate accounting records even in the Transmission Tariff Regulations formulated by the Hon'ble Commission.

In this background, it is submitted that the desired information is not maintained by UPPTCL.

11. **(Ref: Query No. 18 of the preliminary datagaps)**UPPTCL was asked to submit the actual capital investment and capitalisation upto December, 2013 in FY 2012-13 and preparedness to complete the remaining capital investment in FY 2013-14 in terms of physical and financial progress. UPPTCL vide its reply dated 21 March, 2014 submitted that the desired information would be submitted in due course. UPPTCL should submit the same.

Licensee's Response

The details of the progress of the capital expenditure schemes have already been submitted to Hon'ble Commission in the second set of replies (at Annexure-D) vide letter no. 99-Dir (comm)/UPPTCL/2014 dated 04-004-2014.

12. **(Ref: Query No. 19 of the preliminary datagaps)**UPPTCL was asked to submit the preparedness to execute the proposed capital investment for FY 2014-15 in terms of funds tie up and orders placed. UPPTCL was also asked to submit the details of plan for evacuation of power from all the upcoming generating capacities during FY 2014-15. UPPTCL vide its reply dated 21 March, 2014 has not submitted the details of any funds tie up so far. UPPTCL should submit the steps initiated for funds tie up for the proposed capital investment in FY 2014-15 along with supporting documents. UPPTCL should also submit the details of plan for evacuation of power from all the upcoming generating capacities during FY 2014-15.

Licensee's Response

SLDC

13. **(Ref: Query No. 22 of the preliminary datagaps)**UPPTCL was asked to submit the steps taken to ensure the functioning of SLDC in independent manner both in financial terms and decision making along with the year-wise progress made in this regard. UPPTCL vide its reply dated 21 March, 2014 submitted that the desired information would be submitted in due course. UPPTCL should submit the same.

Licensee's Response

14. **(Ref: Query No. 24 of the preliminary datagaps)**UPPTCL was asked to submit the detailed reasons (both Commercial and Technical) for delay in allowing the open access to eligible open access consumers in the State and the actions taken to mitigate the adverse commercial impact, if any, by allowing open access to eligible consumers of electricity in the State. UPPTCL has not submitted the desired information in its reply dated 21 March, 2014. UPPTCL should submit the same.

Licensee's Response

The Petitioner again submits that as regards intra-state short term open access, no application is pending in SLDC; therefore the question of reasons of delay in allowing open access to eligible customers does not arise.